



TFW

ISL0007-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ROBERT WIEST ET AL.

Serial No.: 10/542,174

Filed: JULY 14, 2005

For: TRANSACTION SERVER AND  
COMPUTER PROGRAMME  
PRODUCT

Art Unit: 3621

Examiner: Not Yet Assigned

**REQUEST FOR CORRECTED FILING RECEIPT**

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A corrected Official Filing Receipt is hereby requested in view of the error that appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the requested correction has been noted in red.

Further, Applicants wish to bring to the attention of the Office that second named inventor Motti M. Goldberg is a --French -- citizen and not "Swiss" as mentioned on the Declaration and Power of Attorney document. A copy of PCT/IB/306 notifying the recording of this change is enclosed herewith in this regard.

If any fees are necessary to act upon this request, the Patent Office is authorized to charge Deposit Account No. 03-3975.

Serial No.: 10/542,174  
Art Unit: 3621  
Inventor: Robert WIEST et al.

Attorney's Docket No.: ISL0007-US  
Page 2

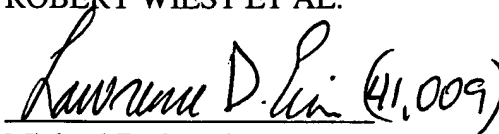
PILLSBURY WINTHROP SHAW PITTMAN LLP  
1650 Tysons Boulevard  
McLean, VA 22102  
Tel: 703/770-7900

Respectfully submitted,

ROBERT WIEST ET AL.

Date: March 1, 2006

By

  
for Michael Bednarek  
Registration No. 32,329

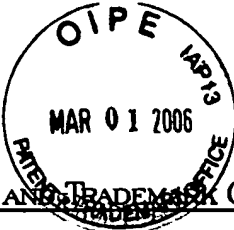
Attachment: Marked Up Copy of Filing Receipt

MB/LDE/ggb

Customer No. 00909



UNITED STATES PATENT AND TRADEMARK OFFICE


 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/542,174	07/14/2005	3621	900	ISL0007-US	3	13	2

CONFIRMATION NO. 8285

 28970  
 PILLSBURY WINTHROP SHAW PITTMAN LLP  
 1650 TYSONS BOULEVARD  
 MCLEAN, VA 22102

## FILING RECEIPT



\*OC000000017404900\*

Date Mailed: 11/09/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Robert Wiest, Zurich, SWITZERLAND;  
 Motti M Goldberg, Kilchberg, SWITZERLAND;

**Power of Attorney:** The patent practitioners associated with Customer Number 28970.

## Domestic Priority data as claimed by applicant

~~This application is a 371 of PCT/CH04/00462 07/22/2004~~
~~--UNITED STATES OF AMERICA 10630857 07/31/2003--~~

## Foreign Applications

~~UNITED STATES OF AMERICA 10630857 07/31/2003~~
~~-- This application is a 371 of PCT/CH04/00462 07/22/2004 --~~
**Projected Publication Date:** 02/16/2006

**Non-Publication Request:** No

**Early Publication Request:** No

## Title

Transaction server and computer programme product

**Preliminary Class**

705

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject

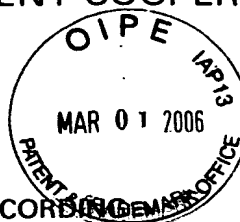
matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## PATENT COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

VOGEL, Dany  
Isler & Pedrazzini AG  
Gotthardstrasse 53  
Postfach 6940  
CH-8023 Zürich  
Switzerland

EINGEGANGEN

31. Aug. 2005

Date of mailing (day/month/year) 25 August 2005 (25.08.2005)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference P143437 DV/MR	
International application No. PCT/CH2004/000462	International filing date (day/month/year) 22 July 2004 (22.07.2004)

## 1. The following indications appeared on record concerning:

☒ the applicant ☒ the inventor ☐ the agent ☐ the common representative

Name and Address GOLDBERG, Motti, M. Bergstrasse 3 CH-8802 Kilchberg Switzerland	State of Nationality CH	State of Residence CH
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☐ the person ☐ the name ☐ the address ☒ the nationality ☐ the residence

Name and Address GOLDBERG, Motti, M. Bergstrasse 3 CH-8802 Kilchberg Switzerland	State of Nationality FR	State of Residence CH
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

☒ the receiving Office ☒ the designated Offices concerned  
☐ the International Searching Authority ☐ the elected Offices concerned  
☐ the International Preliminary Examining Authority ☐ other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer S. GROBET
Facsimile No. (41-22) 338.70.80	Telephone No. (41-22) 338 8018